



IPW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

Koji HOSONO et al.

Serial No.: 10/664,977

Filed: September 22, 2003

For: NON-VOLATILE
SEMICONDUCTOR MEMORY

Atty. Docket No.: 002372.00046

Group Art Unit: 2824

Examiner: Nguyen, V.

Confirmation No.: 4948

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)
INCLUDING CERTIFICATION UNDER 37 C.F.R. § 1.704(d)

U.S. Patent and Trademark Office
220 20th Street S.
Mail Stop Amendment
Crystal Plaza Two, Lobby, Room 1B03
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Sir:

In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached PTO/SB/08A form. Copies of the non-U.S. references are submitted herewith.

The undersigned certifies under 37 C.F.R. § 1.97(e)(1) that each reference cited herein was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

Also enclosed is a copy of the search report for a corresponding foreign application indicating the relevance of the references cited therein.

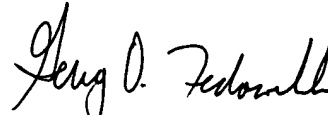
The undersigned certifies under 37 C.F.R. § 1.704(d) that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this statement.

Applicants do not waive any right to take appropriate action to establish patentability over the listed documents should they be applied as references against the claims of the present application.

It is respectfully requested that the Examiner fully consider each of the documents, initial the enclosed Form PTO/SB/08A in the appropriate place to indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609.

Applicants believe that no fee is necessary pursuant to 37 C.F.R. § 1.97(b). However, if a fee is due, the Office is authorized to charge Deposit Account No. 19-0733.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Gary D. Fedorochko".

Gary D. Fedorochko
Reg. No. 35,509

Date: October 25, 2004

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	10/664,977
Filing Date	9/22/2003
First Named Inventor	Koji HOSONO et al.
Group Art Unit	2824
Examiner Name	Nguyen, V.
Attorney Docket Number	002372.00046

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		5701268		Lee et al.	12-23-1997	
		5748536		Kwon et al.	05-05-1998	
		4547685		Wong	10-15-1985	

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₆
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
		JP	57-152586	A	Nippon Denki KK	09-20-1982		Abst
		JP	57-191890	A	Nippon Denki KK	11-25-1982		Abst

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

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